CASE 11/2-22989/INP 2/PCT

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant

Commissioner for Patents, Washington, D.C. 20231.

Type or print name

Signature

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF

Group Art Unit:

MARTIN KUNZ ET AL.

Examiner:

INTERNATIONAL APPLICATION NO. PCT/EP EP

03/51008

FILED: December 15, 2003

FOR: METHOD FOR FORMING FUNCTIONAL

LAYERS

U.S. APPLICATION NO: 10/538,893 35 USC 371 DATE: JUNE 14, 2005

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 CFR 1.56, Applicants wish to call the Examiner's attention to the references cited on the attached form PTO-1449. A copy of the International Search Report and copies of the references were supplied when this application entered the U.S. national phase. Copies of the non-U.S. references and English language abstracts of DE 19732901 are enclosed herewith.

Applicant would also like to bring to the Examiners attention copending applications US-2005-0147919-A, 10/538,890, 10/530,614.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

Lula a hoggiis

Ciba Specialty Chemicals Corporation Patent Department 540 White Plains Road P.O. Box 2005 Tarrytown, NY 10591-9005 (914) 785-2768 Shiela A. Loggins Agent for Applicants Reg. No. 56,221

Sheet	1	of	3	
 				=

FORM PTO-1449				Docket Number (Optional)		Application Number		
				11/2-22989/INP 2/PCT 10/538,893				
INFO	RMATION DISCLOS IN AN APPLICA		TION	Applicant MARTIN KUNZ ET	ΔΙ			
	IN AN AFFLICA	11014		Filing Date	Group Art	Group Art Unit		
	(Use several sheets if n	ecessary)		JUNE 14, 2005				
		_	U. S. PATEN	T DOCUMENTS				
EXAMINER							FILING I	
INITIAL	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	APPRO	PRIATE
	5,403,626	4/95	Kim et al.		427	519		
_	2002/0192385	12/02	Jenkner et al		427	387		
	6,387,379	5/02	Goldberg et	al.	424	400		
	2001/0041265	11/01	Yializis		428	500		-
				,				
		<u> </u>						
		FC	REIGN PAT	ENT DOCUMENTS	L			
							Trans	lation
	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	YES	NO
	98/44545	10/98	PCT/World					
1	98/18852	5/98	PCT/World					
	19732901	11/98	Germany					
·	OTHER	R DOCUM	MENTS (includi	ng Author, Title, Date, Perti	nent Pages, Et	c.)		
	1			and Plasma Polymeriza				
					(,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	P87		_
	H. Yasuda, "Plasma	a Polymer	ization (conter	nts pages)		<u> </u>		
,								
J	H. Biedermann, Y.	Osada, "P	lasma Polyme	rization Process, Plasr	na Technolo	gy 3 (conten	ts pages))
EXAMINER				DATE CONSIDERED				
EYAMINED: Init	ial if citation considered	hother or no	t citation is in cas	formance with MPEP §609;	Draw line these	ıah		
				rm with next communication				
citation if not in c	onformance and not consi	aerea. Inclu	ae copy of this fo	rm with next communication	to the applicar	1t.	_	

Sheet 2 of 3.

FORM PTO-1449			Docket Number (Optional) Application Number			r			
INFORMATION DISCLOSURE CITATION			11/2-22989/INP 2/PCT						
INFO	IN AN APPLICA		TION	MARTIN KUNZ ET	ΔI				
	1117111711121071			Filing Date Group Art Unit					
	(Use several sheets if n	ecessary)		JUNE 14, 2005					
			J. S. PATEN	T DOCUMENTS					
EXAMINER	T							FILING (DATE IF
INITIAL	DOCUMENT	DATE		NAME	CLAS	S	SUBCLASS	APPROI	
	NUMBER								
					<u> </u>				
- 	-				<u> </u>				
					· · · · · · · · · · · · · · · · · · ·				
		FO	REIGN PATI	ENT DOCUMENTS					
								Translation	
	DOCUMENT NUMBER	DATE		COUNTRY	CLAS	S	SUBCLASS	YES	NO
		· · · · · · · · · · · · · · · · · · ·							
	OTHER	R DOCUM	MENTS (includi	ng Author, Title, Date, Pertin	ent Pages	, Etc	.)		
	Surface Coatings T	echnology	142-144(200	1)nn 494-500					
J	Surface Coatings 1	cermology	172-177(200	1)рр. 424-300		,			
		. <u>, </u>							
d	Abstract page of W	O 99/0620)4English La	ng. Abstract of DE 19	732901		*		
ı									
J	Coating 31(2) (199)	8), pp. 38-	40 = Chemica	l Abstract 128:258446	-				
	(1)	-), PP							
EXAMINER	<u> </u>	-		DATE CONSIDERED					
• • • • • • • • • • • • • • • • • • • •									
EXAMINER: Initi	al if citation considered w	hether or not	t citation is in cont	formance with MPEP §609; I	Draw line t	hrou	ah		
				_			-		
GLAUGH II HOLIN C	omormance and not consi	uerea. INCIU	ue copy of this for	m with next communication	to the app	iicani	. <u> </u>		

4

INFORMATION DISCLOSURE CITATION			•	11/2-22989/INP 2/PCT			10/538,893			
			Applicant 10/336,693							
	IN AN APPLICA	ATION	MARTIN KUNZ E	T AL.						
	(Use several sheets if	necessary)	Filing Date		Group Art Unit					
	(OSC SCVCIAI SILECIS II		JUNE 14, 2005		L	,				
<u> </u>		U. S. PA	TENT DOCUMENTS			Γ .				
EXAMINER INITIAL	DOCUMENT DATE NUMBER		NAME	CLAS	S SUBCLASS	FILING DATE IF APPROPRIATE				
			_							
						ļ				
		FOREIGN I	PATENT DOCUMENTS	 3		J				
				T		Trans	slation			
	DOCUMENT NUMBER	DATE	COUNTRY	CLAS	S SUBCLASS	YES	NO			
				1						
	OTHE	R DOCUMENTS (including Author, Title, Date, Pe	rtinont Bases	Eto.)					
				=	, Ltc.)					
` }	Coating 34 (10) (2	001), pp. 364-367=	Chemical Abstract 136:1	103302						
			_							
XAMINER			DATE CONSIDERED							
EXAMINER: Initia	I if citation considered,	whether or not citation is i	in conformance with MPEP §60	9; Draw line t	hrough					
tation if not in co	nformance and not cons	sidered. Include copy of t	this form with next communication	on to the app	licant.					